PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/349.198 TRANSMITTAL Filing Date July 7, 1999 **FORM** First Named Inventor Christopher F. Parker Art I Init 3624 (to be used for all correspondence after initial filing) **Examiner Name** Ella Colbert Attorney Docket Number 56129861-26 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): one reference; copy of European Request for Refund **Express Abandonment Request** Supplementary Search Report; postcard. PTO/SB08B form. CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) RECEIVED Response to Missing Parts/ NOV 1 2 2003 Incomplete Application Response to Missing Parts GROUP 360b under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Eunhee Park Firm Baker & McKenzie Individual name Signature Date November 5, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with

sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Eunhee Park		
Signature	Eursa Park	Date	November 5, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Appl. No.

09/349,198

Confirmation No. 6293

Applicant

Christopher F. Parker

Filed

July 7, 1999

TC/A.U.

3624

Examiner

Ella Colbert

Docket No.

56129861-26

Customer No.

26453

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicant hereby bring the following reference in the above-identified application to the attention of the Examiner. The reference is listed on the attached modified Form No. PTO/SB08B. A copy of the Supplementary European Search Report citing this reference is also enclosed. (The other reference, U.S. Patent No. 5,887,274, from the Supplementary European Search Report was filed electronically in an Information Disclosure Statement in this application today.) It is respectfully requested that the information be expressly considered during the prosecution of this application and that the reference be made of record therein and appear

CERTIFICATE OF MAILING

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Eunhee Park (Reg. No. 42,976)

[NYC] 411592.1

09349198 - GAU: 3696

among the "References Cited" on any patent to issue therefrom. A copy of the reference is enclosed.

This information disclosure statement is being filed under 37 C.F.R. § 1.97(b)(4) before the mailing of a first Office Action after the filing of a request for continued examination under §1.114.

No fee is believed due. However, if a fee is deemed due, the Commissioner of Patents and Trademarks is hereby authorized to charge any fees or credit any overpayments that may be necessary to Deposit Account No. 02-0393 of Baker & McKenzie.

Respectfully submitted,

Eunhee Park

Registration No. 42,976

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Facsimile (212) 759-9133



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Substitute for form 1449/PTO		Complete if Known			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT			CLOSURE	Filing Date	July 7, 1999
			PPLICANT	First Named Inventor	Christopher F. Parke
<i>(11</i>				Art Unit	3624
(Use as many sheets as necessary)			ecessary)	Examiner Name	Ella Colbert
Sheet	1	of	1	Attorney Docket Number	56129861-26

	_ 	NON PATENT LITERATURE DOCUMENTS	г —
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/EC/	1	MOHAN, C. et al., "Aries: A transaction Recovery Method Supporting Fine-Granularity Locking and Partial Rollbacks Using Write-Ahead Logging",	
200000000000000000000000000000000000000	>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>	ACM Transactions on Database Systems, Association for Computing Machinery. New York.	
		US, Vol. 17, No. 1, March 1992 (1992-03), pages 94-162, XP001098645, ISSN: 0362-5915.	
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Examiner	17 ^m 12 25 15 17	Date	11/26/2008
Signature	/Ella Golbert/	Considered	11/20/2000

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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